

PATENT

Our Docket: 67493-013 (P-PM.4966)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Braun and Sutton

Group Art Unit: 1648

Serial No: 09/966,608

Examiner: L. Scheiner

Filed: September 27, 2001

For: IBD-ASSOCIATED MICROBIAL )

NUCLEIC ACID MOLECULES

Commissioner for Patents Washington, D.C. 20231

## DECLARATION UNDER 37 C.F.R. § 1.131

We, Jonathan Braun and Christopher L. Sutton, hereby declare as follows:

- 1. We, Jonathan Braun and Christopher L. Sutton, are co-inventors of the above-identified patent application.
- 2. We understand that several claims of the above-identified patent application stand rejected, in part, over Rubenfield et al. (U.S. Patent No. 6,551,795), which claims priority to provisional applications filed on February 18, 1998, and July 27, 1998. Attached hereto as Exhibit A is the front page of U.S. Patent No. 6,551,795, showing the filing dates of the two priority applications.

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3. Attached as Exhibit B is a copy of Figure 1 of the above-identified application. Figure 1 shows the I-2 nucleotide sequence SEQ ID NO:1 and predicted I-2 amino acid sequence SEQ ID NO:2.

- 4. This Declaration attests that we obtained the I-2 nucleotide sequence SEQ ID NO:1 and the predicted I-2 amino acid sequence SEQ ID NO:2 prior to February 18, 1998, which is the earliest priority date of Rubenfield et al. (U.S. Patent No. 6,551,795). Documentary evidence in support thereof is attached as Exhibits C, D and E.
- 5. Prior to February 18, 1998, we conducted research directed to obtaining and sequencing the claimed I-2 nucleic acid molecule. Identifying and sequencing of the I-2 clone arose out of collaborative discussions regarding sequences differentially expressed in inflamed Crohn's disease lesions as compared to uninflamed tissue. The actual experiments resulting in isolating and sequencing I-2 nucleic acid molecule SEQ ID NO: 1 were performed by Dr. Sutton or under his supervision.
- 6. As evidence that the I-2 nucleic acid molecule SEQ ID NO:1 was obtained and sequenced prior to February 18, 1998, attached as Exhibit C is a copy of an electropherograph documenting the sequence analysis of the I-2 clone. The electropherograph has been marked with arrows to indicate the first and last nucleotides corresponding to I-2. Although the dates of sample processing and data output printed on the electropherograph have been redacted, these dates are prior to February 18, 1998. Furthermore, a comparison of

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SEQ ID NO: 1 with the relevant portion of the electropherograph nucleotide sequence, attached as Exhibit D, indicates that complete SEQ ID NO:1 is included on the electropherograph. results indicate that the complete I-2 nucleotide sequence SEQ ID NO:1 had been obtained prior to February 18, 1998.

- 7. As evidence that the predicted I-2 amino acid sequence SEQ ID NO:2 had been determined by us prior to February 18, 1998, attached as Exhibit E is a copy of a DNA STRIDER ouput. The DNA STRIDER output shows that a 6-phase open reading frame map was prepared for the 302 base pair I-2 nucleotide sequence shown in the electropherograph in Exhibit C. To corroborate that the DNA STRIDER output corresponds to the I-2 sequence shown in the electropherograph, the 12-base pair segments at the 5' and 3' ends of the DNA STRIDER query sequence, shown as "AGATCTGGCCAG...ATGAGCAGATCT" on the DNA STRIDER output, are highlighted in the I-2 nucleotide sequence in Exhibit C. The DNA STRIDER output is dated prior to February 18, 1998, with the actual date having been redacted.
- The results described in paragraphs 6 and 7 demonstrate that the nucleotide sequence of I-2 nucleic acid molecule SEQ ID NO:1 and corresponding encoded amino acid sequence SEQ ID NO:2 were known to us prior to February 18, 1998.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false

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statements and the like so made are punchable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that any such willful false statement may jeopardize the validity of the application or any patent issued thereon.

| Date: 10/17/03 | Ву:_ | Jonathan Braun        |
|----------------|------|-----------------------|
| Date:          | Ву:_ | Christopher L. Sutton |

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statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that any such willful false statement may jeopardize the validity of the application or any patent issued thereon.

| Date:          | By:                   |
|----------------|-----------------------|
|                | Jonathan Braun        |
| Date: 10/20/03 | By: CS T Sure         |
|                | Christopher L. Sutton |